# RAKE TABLES

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Tips for a Successful EPA Brownfield Grant Application

> November 3, 2023 FBA Lunch and Learn

> > Technical Assistance to Brownfield Communities

Today's Presenter:

Cailyn Bruno, PG LSRP Director, Environmental Services New Jersey Institute of Technology Tel. 973-596-5519 Email: CLB42@njit.edu







### **EPA Brownfields Definition**

A brownfield site is defined in CERCLA § 101(39) as real property, the expansion, redevelopment, or reuse of which may be complicated by the presence or potential presence of hazardous substances, pollutants, contaminants, controlled substances, petroleum or petroleum products, or is mine-scarred land.





Amounts are subject to change

## FY24 Brownfields Multipurpose, Assessment, and Cleanup Grant Offerings

	Maximum Project Period	Maximum Amount Per Grant	Estimated # of Awards	Total Per Grant Type	
Grant Type				Bipartisan Infrastructure Law Funds	Regular Appropriated Funds
Multipurpose	5 years	\$1,000,000	20		\$20,000,000
Assessment (State/Tribal Level)	5 years	\$2,000,000	25	\$50,000,000	
Assessment Coalitions	4 years	\$1,500,000	26		\$40,000,000
Assessment (CW) - New	4 years	\$500,000	30		\$15,000,000
Assessment (CW) - Existing	4 years	\$500,000	30		\$15,000,000
Cleanup	4 years	\$500,000	40	\$20,000,000	
Cleanup	4 years	\$2,000,000	17	\$35,000,000	
Cleanup	4 years	\$5,000,000	8	\$40,000,000	
			196	\$145,000,000	\$90,000,000
				\$235,000,000	

**NO COST SHARE** 

### **EPA Brownfield Grants Solicitation Cycle**

- Request for Applications (RFA) Fall
- Due Date: November 13th
- Announcement of Successful Grant Applicants Spring
- Funding become available to grantees Late Summer









Approximately 1 Year



#### Direct costs associated with:

- Inventory
- Site prioritization
- Community involvement
  - Community Liaison
  - Stipends
  - Childcare
- Site reuse planning
- Assessment
- Cleanup planning
- Programmatic management of the grant
- Health monitoring
- Environmental Insurance

- Up to \$500,000 in funding (\$2,000,000 for state and tribal)
- 4-year performance period







### **Brownfield Cleanup Grants**

Direct costs associated with:

- Cleanup
- Programmatic management of the grant
  - required performance reporting
  - cleanup oversight
  - environmental monitoring of cleanup work
- Health monitoring
- Environmental Insurance

- Up to \$5,000,000 in funding
- 4-year performance period







#### Direct costs associated with:

- Inventorying
- Site prioritization
- Community involvement
  - Community Liaison
  - Stipends
  - Childcare
- Assessment
- Cleanup planning
- Cleanup
- Plan for revitalization of target area
- Programmatic management of the grant
- Health monitoring
- Environmental Insurance

- Up to \$1,000,000 in funding
- 5-year performance period





### Grant Writing Tips & Common Mistakes





#### **Open Solicitations**

FY 2024 Multipurpose, Assessment, and Cleanup Grant Application Resources - The application submission deadline is November 13, 2023.

Please find below information on the FY 2024 Brownfields Multipurpose, Assessment, and Cleanup Grant Guidelines and application resources.

- FY 2024 Multipurpose Grant Guidelines 🛽
- FY 2024 Community-wide Assessment Grant Guidelines 🛽
- FY 2024 Assessment Coalition Grant Guidelines [2]
- FY 2024 Community-wide Assessments Grant for States and Tribes Guidelines
- FY 2024 Cleanup Grant Guidelines 🛽
- FY 2024 Summary of Brownfields Grant Guideline Changes (pdf) (260.92 KB)
- E FY24 Eligibility Chart for Multiple Applications (pdf) (122.37 KB)
- E FY24 Eligibility Chart for Existing Grant Recipients (pdf) (164.85 KB)
- <u>FY 2024 Frequently Asked Questions about Multipurpose, Assessment, Revolving Loan Fund,</u> <u>and Cleanup Grants</u>
- E FY 2024 Sample Federal Forms Required to Submit an Application (pdf) (1.37 MB)
- NEW: FY 2024 Cleanup Grant Sample Site Characterization Letter Template (docx) (38.4 KB)
- Tips for Submitting an Application through Grants.gov (pdf) (146.85 KB)
- EPA news release September 26, 2023

**Pre-Recorded Videos on Minimum Grant Requirements** 

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Technical Assistance to Brownfield Communities



### **GENERAL APPLICATION TIPS**

#1 Piece of Advice

- FOLLOW DIRECTIONS (read entire Guidelines).
- Decide what your story is. How is your community different from other applicants?
- Make sure project outcomes match community needs. Keep a consistent narrative throughout.



## The Dragon & the City - Storytelling Technique

Talk (briefly) about what is good and valuable in your city

Focus on your city's dragon(s)

- Environmental injustice
- Disinvestment
- Climate change
- Other threats?
- How has it been allowed to get this bad?
- What is the cost to the city and its people?

Brownfields are the heroes! How can they be used to defend your city, attack the dragon(s), and what is the reward?





#### Storytelling: Brownfields Beyond the Three Act Narrative Structure

Before, After, and Beyond



Source: frankchetko.kwikfold.com



Modified from Pauline Clague, Five Beats Of Indigenous Storytelling.

#### Once Upon a Time... Introduction: **Rising Action:** Crisis: Future of Setting: The Endicott-Johnson The sister factories Site is cleaned up and The redevelopment attracts The post-war Corporation was a major industrial decline lead Century and Sunrise existing structure is new residents and furthers to EJ factory closures are sold to investors. development within the city. cultural and economic repurposed. force in the development throughout the city. The site is assessed Falling Action: Many former industrial sites of Johnson City. The These abandoned **Century Sunrise** have since been remediated and contamination is company treated workers structures stood as a identified. The Redevelopment opens and given new life, providing fairly and used their land structure remains in 2018, providing needs such as affordable reminder of hard to provide recreation affordable housing times for 40 years. intact. housing and public space for the community. within the city. recreation space.



Source: Google Maps, September 2019

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Always ask yourself, . Who writes the stories? . Who benefits from the stories? . Who is missing from the stories?



## III.B. Threshold Criteria

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- Pass/fail
- 1. Applicant Eligibility
- 2. Community Involvement
- 3. Expenditure of Existing Grant Funds
- 4. Contractors and Named Subrecipients
- Answer all the questions! If it doesn't apply, say so, don't leave blanks or skip sections



## **IV.E. Narrative/Ranking Criteria**

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- 1. PROJECT AREA DESCRIPTION AND PLANS FOR REVITALIZATION
- 2. COMMUNITY NEED AND COMMUNITY ENGAGEMENT
- 3. TASK DESCRIPTIONS, COST ESTIMATES, AND MEASURING PROGRESS

4. PROGRAMMATIC CAPABILITY AND PAST PERFORMANCE



## 1. PROJECT AREA DESCRIPTION AND PLANS FOR REVITALIZATION

- Geographic Boundary(ies)
  - Ex: Municipality, County
  - Make as big as reasonable
- Target Area(s)
  - Specific area within your geographic boundary
  - Ex: neighborhood, downtown district, census tract
  - Be specific! Include street names, natural boundaries, coordinates
- Priority Site(s)
  - Why? What's around them? What's the impact?



This section "sets the stage" for talking about the brownfield challenges within the community and how this grant will address those challenges.



### 1. PROJECT AREA DESCRIPTION AND PLANS FOR REVITALIZATION

#### **Tips and Common Mistakes**

- Competitive applications will have a project and outcomes that align with existing community revitalization efforts.
- Demonstrate that you have plans to get from A to Z to assure success.
- Address the potential for displacement if not applicable, say why and how





### 1. PROJECT AREA DESCRIPTION AND PLANS FOR REVITALIZATION

Tips and Common Mistakes (cont.)

- Talk about community investment (i.e., streetscape improvements, utility upgrades, BID, etc.).
- List existing infrastructure to be leveraged (i.e., water, sewer, gas, electric, transportation, high speed internet) as well as any upgrades that will be required to meet project goals.
- Be clear on leveraged resources (committed versus anticipated).



## 1. PROJECT AREA DESCRIPTION AND PLANS FOR REVITALIZATION

Don't say: "The tab low do vou envirop know? neighbor here are **properties that** m What's the - The second people that live impact? there This grant will be used to addres fields, which w Jetter How? quality 🚅 neighborhoo

#### Successful Example:

"...Early Long Island waterfront and industrial development filled the area with marinas, warehouses, railroad tracks, switching yards, fuel oil terminals, airplane hangars, metal fabricating plants, and defense industry factories from preWorld War II. After World War II, when Black and LatinX veterans were denied homeownership in other neighborhoods, New Cassel thrived as a minority, middle-class locale. Open farmland was filled with residential and commercial development, and land south of the LIRR was developed with light industrial businesses. Later, economic recessions fueled residential overcrowding, increased gang activity, and drug trafficking in New Cassel. Vacant lots became abundant as building stock aged without investment.

While coordinated renewal efforts have marked the past decade, many economic, social, and environmental challenges persist in New Cassel today. Pervasive vacant/underutilized and contaminated properties create barriers to enhancing neighborhood aesthetics, improving safety and walkability, and increasing access to green space and recreation. According to the American Community Survey, the poverty rate for residents of the New Cassel hamlet (11.8%) doubles the poverty rate for North Hempstead (4.9%) and Nassau County (5.9%) in a region where the housing cost burden is high."



- Use data sources to establish
  - Community's need for funding financial and socioeconomic information and burdens
  - Threats to sensitive populations social, environmental, health, and economic data/indicators
  - Data should be for geographic and target areas



Stage has been set. This section should show the reviewer who is on it (community) and what their story is, tying everything together into a cohesive description of your plan





### **Tips and Common Mistakes**

- Quantify impacts to community when possible.
- Emphasize how disadvantaged, disinvested, depleted, dire the area is...show that you *really* need this money!!
- Include data from EPA's EJSCREEN Tool and EnviroAtlas; and CEQ's CEJST to establish community characteristics and need.
- If health statistics aren't available at a local level, say so
- Describe your community engagement plan, even if it's ongoing.





### Tips and Common Mistakes (cont.)

- Connect the dots—look at the sensitive populations you mentioned—is your engagement inclusive of them?
- Make sure you discuss how the input from the community engagement plan will be meaningfully incorporated into the project.
- Describe how this grant will address or facilitate the identification and reduction of threats to the health or welfare of your vulnerable populations.
- Select meaningful partners with meaningful roles.





Don't say: to what com The sign Care impacted by popt the ds in the neighbørhoòd. be used to clean 🔽 to create a clinic for The neight 🚺 is'also impacte

#### Successful Example:

The majority-minority New Cassel BOA suffers disproportionately from environmental and public health risks. Polluting industries in North Hempstead have predominantly located in New Cassel, including 88 regulated facilities. Many of these facilities are listed on the New York State Department of Environmental Conservation's (NYS DEC) Inactive Hazardous Waste Disposal Site Registry with documented soil and groundwater contamination (primarily from chlorinated solvents and/or petroleum). ... The EPA's EJSCREEN tool (measuring environmental justice burdens, updated 2019) ranks New Cassel residents in 98th percentile for superfund proximity in the country, 78th for fine particulates (PM 2.5), 81st percentile for ozone, 90th percentile for lead paint indicators, and 91st percentile for traffic proximity and volume. New Cassel also faces tremendous challenges due to extreme weather impacts. Extreme weather events have disproportionate impacts on low-income, minority neighborhoods like New Cassel, which are more vulnerable due to poor housing quality, deficient infrastructure, and lack of transportation access.



### 3. TASK DESCRIPTIONS, COST ESTIMATES, AND MEASURING PROGRESS

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0 cm 1 5 3 4 2 6 4 8 8 10 11 15 13 14 12 16 14 18 18 50 51 55 53 54 52 56 54 58 58 30

#### **Tips and Common Mistakes**

- Show a generalized timeline of how the work will be done in grant performance period.
- Show how the applicant will easily identify when the project is or is not on schedule and describe corrective action.
- Need to substantiate costs (don't back into them). Include unit costs, be specific e.g. \$ in travel, supplies; who? what? purpose?
- Check your math!
- Make sure your budget correlates to your tasks.
- Understand outputs versus outcomes.
- Quantify outputs

Output = deliverables, i.e. # of Phase Is, an inventory, a report, etc.

Outcome = result of the project or activities, i.e. # of jobs created, acres of greenspace, etc.



### 4. PROGRAMMATIC CAPABILITY AND PAST PERFORMANCE

### **Tips and Common Mistakes**

- Clearly describe the project management structure and how it will function.
- Make sure you read the "and/or" in the evaluation criteria.
- Use tables to summarize where appropriate to save space and make it easy to read for the reviewer
- DON'T BE MODEST...but don't give more than 3 examples.
- Address all the criteria. If you have no history, then say that for neutral score of 8 points. Not responding will get you 0.





### 4. PROGRAMMATIC CAPABILITY AND PAST PERFORMANCE



Since 1988, the City has secured and effectively utilized multiple Federal and EPA Brownfields Grants. The status of the City's most recent EPA grants is:

1. FY14 Community-Wide Assessment | CA #BF-00F90901 | \$400K | 10/1/14-17 (closed);\*

2. FY16 RLF | CA # BF01F21301| \$820,000 | Open\*

3. CDBG | Low/Mod Income Housing Rehab | \$700K\*

\*Outputs: one Quality Management Plan, nine Quality Assurance Project Plans, 22 Phase 1 ESAs, 8 Phase 2 ESAs; 12 Quarterly Reports; 3 DBEs and 3 FFRs; \*Outcomes: 8 sites and 38.45 acres were designated as ready for use; redevelopment resulted in 813 jobs and leveraged \$100M; 122 safe and affordable senior housing units constructed



### **GENERAL APPLICATION TIPS**

- Write as though the reader knows NOTHING about your community.
- Look at past successful applications.
- Be kind to your reviewers minimize use of acronyms/technical/organizational jargon. Make it easy and compelling to read!



### GENERAL APPLICATION TIPS (cont.)

- Don't rely on past successes keep the application forward-focused.
- Read and evaluate any proposal written by a consultant.
- Do not use hyperlinks other than sourcing data. No graphics or pictures either.
- Use the outline and template tables provided in the guidelines.
- Make sure sub-contractors have been procured according to EPA requirements.



### What is TAB?

(Technical Assistance to Brownfields Communities)

TAB is a technical assistance program, funded by the USEPA, which is intended to serve as an independent resource to communities and nonprofits attempting to cleanup and reclaim brownfields.

### ➢Assistance is *free* of charge!









### NJIT Brownfields Leadership Team

We are planners, engineers, environmental scientists, and social scientists who have helped hundreds of communities.



### NJIT TAB ASSISTANCE

# Assistance is provided through...

- Resource Center
- Brownfield Educational Forums
- One-on-one Technical Assistance







### **NJIT TAB Resource Center**

#### www.njit.edu/tab

- info on all aspects of assessing and cleaning up a brownfield site
- news and upcoming events
- Federal and state funding sources
- EPA and state contacts
- previously recorded webinars
- downloads of workshop and seminar presentations
- success stories
- how-to videos
- successful grant applications
- Infill development, resilience, OZs, etc.





#### NJIT TAB Brownfield Educational Forums

**Conferences:** pre-conference workshops, learning labs, panel sessions, office hours

**Workshops:** 2 to 4 hour interactive sessions on brownfield related topics

**Brownfield Boot Camps:** 4 to 7 hour more intensive, deep dive into specific brownfield related topics

Webinars: various brownfield related topics

All-Grantee Meetings: work with state agencies and EPA

**COVID-appropriate virtual events** 







#### NJIT TAB One-on-One Technical Assistance

#### Tailored to your specific needs...





# QUESTIONS & ANSWERS

EPA guidelines, FAQs, pre-recorded webinars: https://www.epa.gov/brownfields/marc-grant-applicationresources

Examples of successful grants: <u>https://www.njit.edu/tab/sample-grant-applications</u>

Resources for Criterion 2 – Community Need: EJ Screen: <u>https://www.epa.gov/ejscreen</u> CEJST: <u>https://screeningtool.geoplatform.gov/en/#3/33.47/-97.5</u> EnviroAtlas: <u>https://enviroatlas.epa.gov/enviroatlas/interactivemap/</u> Census: <u>https://data.census.gov/</u> PLACES: https://www.cdc.gov/places/index.html FL Brownfields: https://ca.dep.state.fl.us/mapdirect/?focus=brnflds